

For legal heir(s) or claimant(s), where deceased was a sole holder and no nomination in the account - Value of holding exceeding Rs. 15,00,000 (Rupees Fifteen Lacs) as on the date of application :

(III)List of Documents enclosed :

- Original death certificate or copy of the death certificate or copy of the death certificate duly attested by a notary public or by a gazetted officer. **(Mandatory)**
- Self-attested copy of pan card & aadhar card of the legal heir(s) / claimant(s), & originals of the same documents for bank official verification.**(Mandatory)**
- In case the account of the legal heirs(s) / claimant(s) is/are not with the Participant, copy of Client Master Report of the account of the legal heirs(s) / claimant(s).**(Mandatory)**
- In case the claimant/legal heir is a minor, Copy of Birth Certificate.
- In case of claimant being a minor / of unsound mind, KYC of the Claimant Guardian.
- A notarized affidavit from all legal heir(s)/ claimants made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities. **(Mandatory)**
- However, in case the legal heir(s)/claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s)/claimant(s) alone shall be sufficient.

LIST(A)

Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s),duly attested by a notary public or by a gazetted officer.

OR

Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s),duly attested by a notary public or by a gazetted officer;

OR

Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), duly attested by a notary public or by a gazetted officer;

OR

Copy of Probate of Will* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), duly attested by a notary public or by a gazetted officer;

* Probate of Will shall be only insisted on, if applicable in terms of Indian Succession Act, 1925

OR

Copy of Will** (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s),duly attested by a notary public or by a gazetted officer shall be accompanied with a notarised Indemnity Bond from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value.

** Will duly executed in terms of the Indian Succession Act, 1925

OR

Copy of Legal Heirship Certificate (or its equivalent) issued by a Competent Government Authority duly attested by a Notary Public or by a Gazetted Officer and accompanied with a

notarized indemnity bond from the legal heir(s) /claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value and no objection from all the non-claimants stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer.

(IV) Declaration:

(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination in the account - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s).

Sr No	Name of claimant(s)	Signature of claimant(s)
1		
2		
3		
4		
5		
6		
7		
8		

Acknowledgement

We hereby acknowledge the receipt of your request for transmission in following demat account in favour of legal heir(s). **DP ID: IN300845 CLIENT ID :** _____

DATE : _____

Seal & signature of DP : _____