

Circular No.: NSDL/POLICY/2023/0126

September 22, 2023

**Subject: SEBI Circular on Redressal of investor grievances through the SEBI Complaint Redressal (SCORES) Platform and linking it to Online Dispute Resolution platform.**

Attention of Participants is invited to SEBI Circular No. SEBI/HO/OIAE/IGRD/CIR/P/2023/156 dated September 20, 2023 regarding "Redressal of investor grievances through the SEBI Complaint Redressal (SCORES) Platform and linking it to Online Dispute Resolution platform" (Copy enclosed).

Participants are requested to take note of the above and ensure compliance.

**For and on behalf of  
National Securities Depository Limited**

Digitally signed by AROCKIARAJ  
Date: 2023.09.22 14:21:28 +05'30'

**Arockiaraj  
Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month	Through e-PASS	Para 20 of NSDL Master Circular for Participants on Grievance Redressal chapter
Networth Certificate and Audited Financial Statements (yearly)	September 30 <sup>th</sup>	Through e-PASS	Para 27 of NSDL Master Circular for Participants on Internal Controls/Reporting to NSDL / SEBI chapter and Circular No. NSDL/POLICY/2023/0069 dated June 16, 2023 and NSDL/POLICY/2023/0122 dated September 11, 2023

**National Securities Depository Limited**

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